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PATENT

Attorney Docket No. 71113-0006

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Application of:

BENT, Roger

Group Art Unit: Not yet assigned

Serial No.: 09/786,856

Examiner: Not yet assigned

Filing Date: March 12, 2001

For: Method and Apparatus for Editing a Program

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove the references submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that the references represent art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that the references are not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ (a) within three months of the filing date of the patent application, (b) within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application, or (c) before the mailing date of a first Office Action on the merits.

- ☐ after (a), (b), or (c) above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes:
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below).
- ☐ the fee of \$240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ after the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), a Petition requesting consideration of the Information Disclosure Statement (see "Petition" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" below).

### Other Applications

- ☐ The Examiner's attention is directed to the following U.S. patent application(s):

U.S. APPLICATIONS		Status ( <i>check one</i> )		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1. 0 /				
2. 0 /				
3. 0 /				

### Certification

- ☐ The **undersigned attorney** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned attorney** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

### Petition

- ☐ The undersigned attorney hereby petitions the Commissioner to consider this Information Disclosure Statement and the references listed on the enclosed Form PTO-1449 in the examination of the above-identified patent application.

In re Appln. of Roger [REDACTED]  
Serial No. 09/786,856

**Fees**

- ☒ No fee is owed by the applicant(s).  
☐ The **IDS Fee of \$240.00** under 37 C.F.R. § 1.17(p) is enclosed herewith.  
☐ The **Petition Fee of \$130.00** set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.

**Method Of Payment Of Fees**

- ☐ Attached is a check in the amount of \$ .  
☐ Charge Deposit Account No. 04-1061 in the amount of \$ . (A duplicate copy of this communication is enclosed for that purpose.)

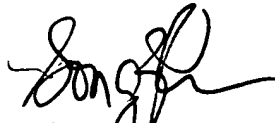
**Authorization To Charge Additional Fees**

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 04-1061. (A duplicate copy of this communication is enclosed for that purpose.)

**Instructions As To Overpayment**

- ☒ Credit Account No. 04-1061.  
☐ Refund

Respectfully submitted,

  
Song Zhu  
Reg. No. 44,420

DICKINSON WRIGHT PLLC  
1901 L Street, NW, Suite 800  
Washington, DC 20036  
Docket No.: 71113-0006  
**Date: 9 May 2001**

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION</b>  (modified)		<b>Atty Docket: 71113-0006</b>		<b>Serial No. 09/786,856</b>			
MAY 08 2001 U.S. PATENT & TRADEMARK OFFICE		<b>Applicant: BENT, Roger</b>					
Filing Date: 12 March 2001		Group: not yet assigned					
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
		5,867,462	Feb. 2, 1999	Tholen et al.			Mar. 12, 1991
		5,550,575	Aug. 27, 1996	West et al.			May 4, 1994
		4,774,600	Sept. 27, 1988	Baumeister			May 6, 1985
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Country	Class	Sub-Class	Translation Yes                      No
		WO 97/ 23996	3 July 1997	PCT Appln.			
		EP 0 690 626 A2	Jan. 3, 1996	Europe			
		GB 2 315 175 A	Jan. 21, 1998	United Kingdom			
		WO 90/ 15507	Dec. 13, 1990	PCT Appln.			
		DE 19528344A	Aug. 2, 1995	Germany			X    English Abstract only
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>							

DC 71113-6 69411-3

<b>Examiner:</b>	<b>Date Considered:</b>
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	